

FOR OFFICE USE ONLY	
T / W	Prev Client <input type="checkbox"/>
POA UI / EI	SC Y / N
HIPAA <input type="checkbox"/>	D.o.G. <input type="checkbox"/>
LLC/LLC Asgn	FT <input type="checkbox"/>

**Estate Planning Questionnaire**  
 (Individual)

This questionnaire consists of questions that are related to your estate planning. It is designed to streamline the estate planning process by supplying information that is generally required to commence your estate plan. Please type in your answers or print clearly.

**1. Your information:**

Name:	TDL:
<b>Name on Driver's License:</b>	
Date of Birth:	Social Security Number:
Citizenship:	Home/Other Telephone:
Work Telephone:	Cell Telephone:
Employer:	Position:
Email:	

**2. Home Address:**

Street:	City:		
County:	State:	Zip:	Own or Rent?

3. Who referred you?

4. **Spouse's Name (if any):**

5. Have you been married before?

Name of ex-spouse(s), if any:

6. Please list all children (if you do not have any children, please write "N/A"). **Please list children even if they are 18 or older.**

Name(s) of Child(ren) (biological and adopted)	Male/ Female	Age	Date of Birth	Living?

If you are married and have step-children, please list each and indicate whether or not you want such children treated as your biological children under your will/trust:

If you have a deceased child, please indicate whether or not such child has any living descendants:

7. Please complete the following statement of assets and liabilities generally reflecting the fair market value of your assets. We will use this information to ascertain whether or not we should discuss estate tax planning and also to discuss trust funding, if applicable.

<b>ASSETS</b>		<b>LIABILITIES</b>	
Cash:	\$	Mortgage:	\$
Investments: (Other than Retirement)	\$	Secured Debt:	\$
Closely-owned Businesses:	\$	Other Debt:	\$
Residence:	\$		
Other Residence / Real Property:	\$		
Cars:	\$		
Personal Effects:	\$		
IRA's & Retirement:	\$		
Stocks, Bonds, etc.			
Face Value of Life Insurance:	\$		
Other:	\$		
<b>TOTAL</b>	<b>\$</b>		<b>\$</b>

8. What is your approximate yearly income?
9. Do you expect to inherit a substantial amount of property? If yes, please indicate the nature and extent of this property and the state in which it is located:
10. Does you presently have a Will? If so, what is the date on the Will?  
**(Please provide a copy of prior will(s) and codicil(s))**
11. Do you have a trust?  
If so, please list the full name and date:
12. If you own real estate in another state, please indicate which state:
13. If you are a beneficiary under a trust established by someone other than yourself, please indicate below:

14. If you are a beneficiary under a trust, please indicate whether you have been given a power of appointment and whether you want to exercise this power:

15. **Describe in general terms how you wish to distribute your property under your will or trust.** Who should receive your property on your death? For example, should it be distributed in equal shares to your children, to siblings, to friends, or to a charity? If to anyone other than to all of your children, please list specific names of people or organizations:

16. **Contingent Beneficiaries.** If all of the people you have listed in your answer to Question 15 die before you, to whom do you want to leave your property? (Typically, clients choose to have their estate divided among any living relatives (heirs) or given to a church or charity.)

**In many of the questions below, you will be naming people to serve in some capacity. When listing each person below, please use his or her legal name, i.e., “Michael”, not “Mike”, or “Cynthia”, not “Cindy”.**

17. Appointing a **GUARDIAN**: If you have children under 18, the guardian is an individual or couple whom you appoint to raise your children in the event both parents should pass away. The guardian generally will receive distributions from your trustee for the support, maintenance, health and education of your children. Whom do you want to appoint as guardian to raise your children if you and your children’s other parent pass away? Please indicate a successor if the person or couple initially named are unable to serve.

	<b>Guardian</b>	<b>Relationship</b>
Guardian:		
First Successor(s):		
Second Successor(s):		

18. Choosing your **EXECUTOR**: The Executor is the person you appoint to settle the affairs of your estate (i.e., the person who will be responsible for probating your Will, filing tax returns, and distributing assets to the beneficiaries, among other duties). Your spouse, adult children, a bank, another relative, or a very reliable and long-time friend may be named as Executor, to serve individually or with other Co-Executors. Whom do you want to appoint as Executor of your estate? Please indicate successors in the event the person initially named cannot serve.

	<b>Executor</b>	<b>Relationship</b>
Executor(s):		
First Successor(s):		
Second Successor(s):		

19. **TRUSTEE**: If you elect to have a trust as part of your estate plan, the Trustee is the person or entity who will be responsible for the management of property in your trust or for trusts for children or other beneficiaries. An individual or financial institution may serve as Trustee. Trustees may serve individually or with other Co-Trustees.

If a trust is appropriate for you, you would typically be the initial Trustee. A successor Trustee will serve if you become incapacitated and will administer the trust when you pass. Whom do you want to appoint as successor Trustee or Co-Trustees? Please indicate successors if the person initially named is unable to serve. Please list how such person(s) are related to you (brother, sister, friend, etc.). We will name you as the initial Trustee, unless you indicate otherwise.

	<b>Trustees for Your Revocable Living Trust</b>	<b>Relationship</b>
Trustee: (typically you)		
First Successor(s):		
Second Successor(s):		

If a trust is created on your death to hold and manage property for your children or other beneficiaries, whom do you want to appoint as Trustee or as Co-Trustees? (If you want the same persons listed as successor trustees above to act as Trustees for your children's trusts or other beneficiaries' trusts, you may note "same as above".)

	<b>Trustees for Children</b>
Trustee(s) (not you):	
First Successor(s):	
Second Successor(s):	

Most people believe that their child or children should not receive total control of an inheritance

in a single lump sum, especially at a young age. There are advantages to leaving an inheritance in trust for a child's lifetime, perhaps allowing the child to become trustee of his or her trust at a certain age (age of control). Please keep in mind that the trustee of the trust will still be directed to use the trust assets to provide for the child's needs until the child reaches the age of control. Please indicate your thoughts about whether you would like for principal distributions to be made to your children at a certain age or whether lifetime trusts should be set up. Please also indicate the age at which you would like this happen.

Lump Sum(s) \_\_\_\_\_ Age(s) of distribution: \_\_\_\_\_

-OR-

Lifetime \_\_\_\_\_ Age(s) of control: \_\_\_\_\_

20. Other documents that complement your Wills/Trust include Statutory Durable Powers of Attorney, Health Care Powers of Attorney, and Directive to Physicians.

a. The purpose of the **STATUTORY DURABLE POWER OF ATTORNEY** is to name an agent to handle your financial affairs in the event of your incapacity. Whom do you want to serve as your financial agent? Please indicate successors in the event that your designated agent is unable to serve.

<b><u>Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	
<b><u>1<sup>st</sup> Successor Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	
<b><u>2<sup>nd</sup> Successor Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	

- b. A **HEALTH CARE POWER OF ATTORNEY** designates an agent who may make health care decisions for you in the event of your incapacity. Whom do you want to serve as your designated agent? Please indicate successors in the event that your designated agent is unable to serve.

<b><u>Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	
<b><u>1<sup>st</sup> Successor Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	
<b><u>2<sup>nd</sup> Successor Agent:</u></b>	
Relationship to you:	
Address: (Street, City, State, & Zip Code)	
Phone:	

HIPAA:

- c. If you are incapacitated, your **DIRECTIVE TO PHYSICIANS** (commonly called a **Living Will**) directs your physician and medical power of attorney to follow your wishes about whether or not you want artificial procedures used to sustain your life. One of the benefits of this document is to take the burden from your spouse or children of having to make the decision if you are incapacitated. Would you like us to prepare a **DIRECTIVE TO PHYSICIANS** setting out your wishes?
21. **SAFEKEEPING:** These are important documents. I encourage you to place the originals of these documents in a safe place. My office will maintain electronic (scanned) copies in the event your agent, executor, or trustee needs them. So that we can help your agent, executor, or trustee find your original documents, where do you intend to store the originals?
22. **REAL PROPERTY:** If you have real property (i.e., your residence, vacation home, or other land) in Texas or another state and we are creating a trust for you, it is highly recommended, with some limited exceptions, that you transfer title of your real property into the trust. We can prepare the deed for you to do this for your Texas property.

Please list addresses for all real property you own. Please also note whether each property is your homestead, rental property, farm property, investment property or business property (if more space is needed, place on back of last page or attach additional sheet):

23. Do you have a financial advisor? If yes, Name:

Company Name:

Telephone Number:

Address:

24. Do you have an accountant? If yes, Name:

Company Name:

Telephone Number:

Address: